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PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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		Application Number	09/841,159		
TRANSMITT	<b>TAL</b>	Filing Date	Apr 23, 2001		
FORM		First Named Inventor	Yacobi		
(to be used for all correspondence afte	er initial filing)	Group Art Unit	2132		
		Examiner Name	Lanier, B.		
Total Number of Pages in This Subm	ission	Attorney Docket Number	MS1-777US		
	ENCL	OSURES (check	all that apply)		
Fee Transmittal Form Fee Attached  Amendment / Reply After Final Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing Licensin Petition Petition Provisio Power of Change Address Termina Request	g-related Papers  to Convert to a nal Application of Attorney, Revocation of Correspondence	After Allowance Communication to Group  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  ✓ Other Enclosure(s) (please identify below):  PTO Form 1449: 12 cited references; return postcard		
SIGNATU	IRE OF APPLIC	CANT, ATTORNEY, OR A	AGENT		
Firm	Reg. No. 40,559				
Date 10-7-04					
	CERTIFICA	ATE OF EXPRESS MA	ILING		
I hereby certify that the items listed above as enclosed The Commissioner of Patents , Alexandria, VA 22313	on the below-indic	ed with the U.S. Postal Service a ated date. The Express Mail No Express	o, has also theen marked on the listed items.		
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PTO/SB/17 (10-03)

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**Application Number** 

Attorney Docket No

## FEE TRANSMITTAL for FY 2004

(\$) 0.00

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** 

SUBMITTED BY

Name (Print/Type)

Signature

kasey C. Christie

Filing Date	Apr 23, 2001
First Named Inventor	Kirovski
Examiner Name	Lanier, B.
Art Unit	2132

(Complete (if applicable))

Telephone (509) 324-9256

Complete if Known

09/841,159

MS1-777US

METHOD OF PAYMENT (check all that a	FEE CALCULATION (continued)						
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☐ Order ☐ Ord	Large	Entity	Small	Entity			
Deposit 40.0700		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Account 12-0769 Number		1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account Lee & Hayes, PLLC		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)		1053	130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any over	rpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	<b></b>
Charge any additional fee(s) or any underpayment of fe		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fe to the above-identified deposit account.	e	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION		1251	110	2251	55	Extension for reply within first month	
		1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity		1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
1001 770 2001 385 Utility filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month	<b>├</b>
1002 340 2002 170 Design filing fee		1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee		1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0.00			110	2452	55	Petition to revive - unavoidable	
			1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE			1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below	Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims20** = X : Independent :	1	1503	640	2503	320	Plant issue fee	
Claims	<b></b>	1460	130	1460	130	Petitions to the Commissioner	
		1807	50	1807	7 50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity   Fee Fee   Fee Fee Fee Description		1806	180	1806		Submission of Information Disclosure Stmt	
Code (\$) Code (\$)		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in ex	cess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim		1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent o	aims	1801	770	2801	205	examined (37 CFR 1.129(b))  Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in exce	ss of 20	1802	900	1802	385 900	Request for Continued Examination (RCE) Request for expedited examination	$\vdash$
and over original patent						of a design application	<u> </u>
SUBTOTAL (2) (\$) 0.6	00	Other fee (specify)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (2) (C) 0.00					
**or number previously paid, if greater; For Reissues, s	ee above	Redu	iced by	Dasic F	iling F	ee Paid SUBTOTAL (3) (\$) 0.00	

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

40,559



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	09/841,159
Filing Date	
Confirmation No.	
Inventorship	Kirovski
Applicant	. Microsoft Corporation
Group Art Unit	2132
Examiner	Lanier, B.
Attorney's Docket No.	
Title: Collusion-Resistant Watermarking and Fingerprinting	

**INFORMATION DISCLOSURE STATEMENT** 

References -- See Attached Form PTO-1449

## **REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Date: <u>(0)~27~04</u>

Respectfully Submitted,

Reg. No. 40,559

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number	09/841,159				
Filing Date	Apr 23, 2001	-			
First Named Inventor	Yacobi				
Group Art Unit	2132				
Examiner Name	Lanier, B.				
Attorney Docket Number	MS1-777US				

U.S. PATENT DOCUMENTS								
Cite No.1	Number Kind Co	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	5930369	Cox et al.	07/27/1999					
	6064764	Bhaskaran et al.	05/16/2000					
	6345100	Levine	02/05/2002					
	6345104	Rhoads	02/05/2002					
	20020191809	Kirovski et al.	12/19/2002					
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		Cite No.1 Number Kind Co (it know) 5930369 6064764 6345100 6345104	U.S. Patent Document   Name of Patentee or Applicant of Cited Document	Cite No.1         Number         Kind Code <sup>2</sup> (if known)         Name of Patentee or Applicant of Cited Document         Cited Document MM-DD-YYYY           5930369         Cox et al.         07/27/1999           6064764         Bhaskaran et al.         05/16/2000           6345100         Levine         02/05/2002           6345104         Rhoads         02/05/2002				

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Kind Code <sup>3</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ <sup>8</sup>			
			EP 0951183				10/20/1999			
			WO 97/34391		Ī		09/18/1997			
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Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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2132

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OCT 2 7 2004

Complete if Known

Application Number 09/841,159

Filing Date Apr 23, 2001

First Named Inventor Yacobi

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)
Sheet 2 of 2

Examiner Name Lanier, B.

Attorney Docket Number MS1-777US

Group Art Unit

	r	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		Vidal et al., "Optimum watermark detection and embedding in digital images" Multimedia Signal Processing 1998 IEEE Second Workshop on 1998 pages 285-290.	
		Cox et al., "Secure spread spectrum watermarking for multimedia" Image Processing IEEE Transactions on Vol. 6 Issue 12 Dec. 1997 pages 1673-1687.	
		George et al., "Digital watermarking of images and video using direct sequence spread spectrum techniques" Electrical and Computer Engineering 1999 IEEE Canadian Conference	
		Boneh , "Collusion-secure fingerprinting for digital data" Information Theory IEEE Transactionon Vol. 44 issue 5 September 1998 pages 1897-1905.	
		Hartung et al.,"Fast public-key watermarking of compressed video"Proceedings Interantional Conference on Image Processing 26-29 Ocv. 1997 pgs 528-531 vol 1.	
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Signature		Considered	J
EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP 609	Draw line through citation if not in conformance and	not

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